

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: **Johns Hopkins University**Application No./Patent No.: **10/593,710**Filed/Issue Date: **9 February 2009**Entitled: **NOVEL METHOD OF NEUROPROTECTION BY PHARMACOLOGICAL INHIBITION OF AMP-ACTIVATED PROTEIN KINASE****Johns Hopkins University**, a**University**

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
(The extent (by percentage) of its ownership interest is _____; OR
3. ☒ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.



3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Edward L. Brant/

Signature

29 April 2010

Date

Edward L. Brant

Typed or printed name

(215) 299-3830

Telephone Number

Attorney for Applicant, Reg. # 62,362

Title

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR(S) of the sum of One Dollar (\$1.00) and for other good and valuable consideration, the receipt of which is hereby acknowledged,

ASSIGNOR(S):

Louise D. McCullough
Gabrielle V. Ronnett

262 Farmington Avenue, Farmington, CT 06030
855 N. Wolfe St., Baltimore, MD 21205

hereby sells, assigns and transfers to:

ASSIGNEE

Johns Hopkins University

3400 N. Charles Street, Baltimore, MD 21218

and the successors, assigns and legal representatives of the ASSIGNEE, entire right, title and interest for the United States and its territorial possessions, and in all foreign countries, including all rights to claim priority, in and to the invention entitled: NOVEL METHOD OF NEUROPROTECTION BY PHARMACOLOGICAL INHIBITION OF AMP-ACTIVATED PROTEIN KINASE

invented by _____ and which is found in:

- ☐ the U.S. patent application executed on even date herewith;
- ☐ the U.S. patent application executed on _____ ;
- ☒ the U.S. patent application no.: 10/593,710 filed: 21 September 2006
- ☐ the International Application no. _____ filed:
- ☐ the U.S. patent no. _____ issued on ;

and, in and to all Letters Patent, both foreign and domestic, to be obtained for said invention by the aforesaid U.S. patent application, and all continuation, division, renewal, continuation-in-part or substitute of said U.S. Patent Application; and as to Letters Patent, any reissue or re-examination thereof; and to any legal equivalent thereof in a foreign country, including, the right to apply for patents and inventor certificates in respect thereof, the right to claim priority, and all patents, patents of addition, utility models, patents of importation, revalidation patents and inventor certificates which may be granted throughout the world in respect of the invention.

ASSIGNOR(S) hereby authorizes ASSIGNEE or its legal representative to insert in this instrument the filing date and serial number of said application or any other information that may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for the recordation of this document.

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR(S) further covenants and agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR(S), and that ASSIGNOR(S) will, at ASSIGNEE's expense, testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE, its assigns or its legal representatives, without further or additional consideration, any and all papers, instruments or affidavits required, render all necessary assistance, and do such additional acts as ASSIGNEE may deem necessary or desirable, to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

ASSIGNOR(S) hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent referred to above to ASSIGNEE of the entire right, title and interest in and to the same, for ASSIGNEE's sole use and benefit, and for the use and benefit of ASSIGNEE's legal representatives and successors, to the full end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by ASSIGNOR(S) had this assignment and sale not been made.

The parties agree that the foregoing covenants and obligations shall bind and inure to the benefit of the heirs, assigns and legal representatives of both parties.

Name: _____
Louise D. McCullough

Date: _____

Name:  _____
Gabrielle V. Ronnett

Date: 04/19/2010

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invented by _____ and which is found in:

- ☐ the U.S. patent application executed on even date herewith;
- ☐ the U.S. patent application executed on _____;
- ☒ the U.S. patent application no.: 10/593,710 filed: 21 September 2006
- ☐ the International Application no. _____ filed:
- ☐ the U.S. patent no. _____ issued on _____;

and, in and to all Letters Patent, both foreign and domestic, to be obtained for said invention by the aforesaid U.S. patent application, and all continuation, division, renewal, continuation-in-part or substitute of said U.S. Patent Application; and as to Letters Patent, any reissue or re-examination thereof; and to any legal equivalent thereof in a foreign country, including, the right to apply for patents and inventor certificates in respect thereof, the right to claim priority, and all patents, patents of addition, utility models, patents of importation, revalidation patents and inventor certificates which may be granted throughout the world in respect of the invention.

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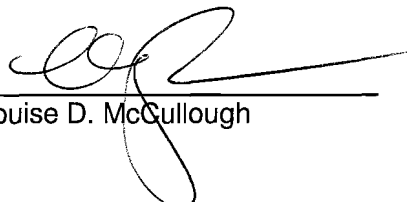
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[Handwritten signature]
3/17/10

ASSIGNOR(S) hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent referred to above to ASSIGNEE of the entire right, title and interest in and to the same, for ASSIGNEE's sole use and benefit, and for the use and benefit of ASSIGNEE's legal representatives and successors, to the full end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by ASSIGNOR(S) had this assignment and sale not been made.

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Name: 
Louise D. McCullough

Date: 3/17/10

Name: _____
Gabrielle V. Ronnett

Date: _____


3/17/10